



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of:

Krisko et al.

Serial No. 10/632,119

Filed: July 31, 2003

For: Temperable High Shading Performance  
Coatings

To: Commissioner for Patents  
Alexandria, VA 22313-1450

) Art Unit: N/A

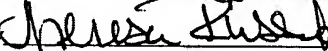
) Our Ref. 44046.203.268.1

) I hereby certify that this correspondence is being:

) [ x ] deposited with the United States Postal Service as  
first-class mail in an envelope addressed to: Commissioner  
of Patents and Trademarks, Alexandria, VA 22313-1450  
[ ] facsimile transmitted to the Patent and Trademark  
Office

) [ ] hand delivered to the Patent and Trademark Office

on this 19<sup>th</sup> day of September, 2003

By:   
Theresa Russek

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT  
WITHIN THREE MONTHS OF FILING OR BEFORE MAILING  
OF FIRST OFFICE ACTION

SIR:

In accordance with the duty of disclosure, a completed Form PTO-1449 is enclosed. The Information Disclosure Statement submitted herewith is being filed within three months of the filing date of the application or date of entry into the national stage of an international application or before the mailing date of a first Office Action on the merits, whichever occurs last pursuant to 37 CFR 1.97(b).

Consideration of each of the listed references by the Examiner is respectfully requested. Applicant also respectfully requests that an initialed copy of Form PTO-1449 indicating such consideration be returned with the next Official Action, as set forth in MPEP 609.

By submitting these references, applicant does not admit that the references are prior art to or material to this application, and reserves the right to establish that any reference is not prior

art. Applicant does not represent that the references have been reviewed in detail; there may be details in the references of which applicant is unaware.

The Commissioner is hereby authorized to charge any additional filing fees required to Deposit Account No. 06-1910. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

Dated: 17 September 2003

Kara K. Smith

Kara K. Smith

Registration No. 49,079

Fredrikson & Byron, P.A. (Customer No. 22859)

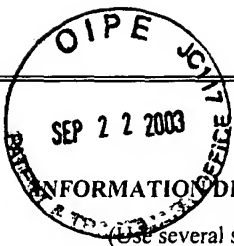
4000 Pillsbury Center

200 South Sixth Street

Minneapolis, MN 55402

(612) 492-7144

#2838565\1



<b>INFORMATION DISCLOSURE CITATION</b> (Use several sheets if necessary)	<b>ATTY. DOCKET NO.</b> 44046.203.268.1	<b>SERIAL NO.</b> 10/632,119
	<b>APPLICANT</b> Krisko et al.	
	<b>FILING</b> July 31, 2003	<b>GROUP</b>

**U.S. PATENT DOCUMENTS**

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
		RE 36,308	09/21/99	Yang	359	585	
		3,682,528	08/08/72	Apfel, et al.	350	1	
		3,978,272	08/31/76	Donley	428	434	
		3,978,273	08/31/76	Groth	428	434	
		3,990,784	11/09/76	Gelber	350	166	
		4,327,967	05/04/82	Groth	350	258	
		4,413,877	11/08/83	Suzuki, et al.	350	1.7	
		4,440,822	04/03/84	Gordon	428	216	
		4,462,883	07/31/84	Hart	204	192 C	

**FOREIGN PATENT DOCUMENTS**

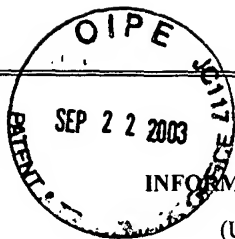
		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO

**OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)**


<b>EXAMINER</b>	<b>DATE CONSIDERED</b>
-----------------	------------------------

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<b>INFORMATION DISCLOSURE CITATION</b> (Use several sheets if necessary)				ATTY. DOCKET NO. 44046.203.268.1		SERIAL NO. 10/632,119	
				APPLICANT Krisko et al.			
				FILING July 31, 2003		GROUP	
<b>U.S. PATENT DOCUMENTS</b>							
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
		4,534,841	08/13/85	Hartig, et al.	204	192 P	
		4,534,841	10/22/85	Dietrich, et al.	204	192 P	
		4,583,815	04/22/86	Taga, et al.	350	1.6	
		4,786,784	11/22/88	Nikodem, et al.	219	543	
		4,790,922	12/13/88	Huffer	204	192.27	
		4,806,220	02/21/89	Finley	204	192.27	
		4,834,857	05/30/89	Gillery	204	192.27	
		4,847,157	07/11/89	Goodman, et al.	428	426	
		4,883,721	11/28/89	Nalepka, et al.	428	623	
<b>FOREIGN PATENT DOCUMENTS</b>							
		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION
							YES    NO
<b>OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)</b>							
EXAMINER			DATE CONSIDERED				
EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.							



## INFORMATION DISCLOSURE CITATION

(Use several sheets if necessary)

ATTY. DOCKET NO.  
44046.203.268.1SERIAL NO.  
10/632,119APPLICANT  
Krisko et al.FILING  
July 31, 2003

GROUP

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
		4,896,928	01/30/90	Perilloux, et al.	350	1.6	
		4,902,580	02/20/90	Gillery	428	623	
		4,919,778	04/24/90	Dietrich, et al.	204	192.27	
		4,971,843	11/20/90	Michelotti, et al.	428	34	
		4,985,312	01/15/91	Furuya, et al.	428	627	
		4,997,013	03/05/91	Peckels	141	95	
		5,059,295	10/22/91	Finley	204	192.27	
		5,087,525	02/11/92	Goodman, et al.	428	428	
		5,110,662	05/05/92	Depauw, et al.	428	192	

## FOREIGN PATENT DOCUMENTS

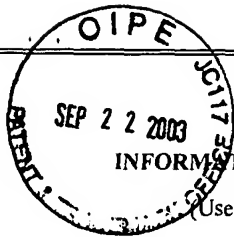
		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO

## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)


EXAMINER

DATE CONSIDERED

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.



<b>ATTY. DOCKET NO.</b> 44046.203.268.1	<b>SERIAL NO.</b> 10/632,119
	<b>APPLICANT</b> Krisko et al.
	<b>FILING</b> July 31, 2003

**U.S. PATENT DOCUMENTS**

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
		5,173,800	12/22/92	King	359	360	
		5,229,881	07/20/93	Day, et al.	359	360	
		5,298,048	03/29/94	Lingle, et al.	65	60.2	
		5,302,449	04/12/94	Eby, et al.	428	336	
		5,348,805	09/20/94	Zagdoun, et al.	428	432	
		5,376,455	12/27/94	Hartig, et al.	428	428	
		5,377,045	12/27/94	Wolfe, et al.	359	585	
		5,514,476	05/07/96	Hartig, et al.	428	426	
		5,520,996	05/28/96	Balian, et al.	428	216	
		5,543,229	08/06/96	Ohsaki et al.	428	432	
		5,552,180	09/03/96	Finley et al.	427	165	

**FOREIGN PATENT DOCUMENTS**

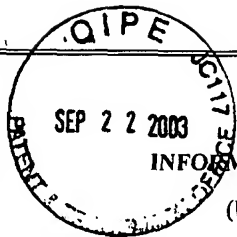
		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO

**OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)**

--	--	--

<b>EXAMINER</b>	<b>DATE CONSIDERED</b>
-----------------	------------------------

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.



<b>INFORMATION DISCLOSURE CITATION</b> (Use several sheets if necessary)	<b>ATTY. DOCKET NO.</b> 44046.203.268.1	<b>SERIAL NO.</b> 10/632,119
	<b>APPLICANT</b> Krisko et al.	
	<b>FILING</b> July 31, 2003	<b>GROUP</b>

**U.S. PATENT DOCUMENTS**

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
		5,557,462	09/17/96	Hartig, et al.	359	585	
		5,563,734	10/08/96	Wolfe et al.	359	360	
		5,584,902	12/17/96	Hartig, et al.	65	32.4	
		5,595,825	01/21/97	Guiselin	428	428	
		5,635,287	06/03/97	Balian, et al.	428	216	
		5,693,415	12/02/97	Zait, et al.	428	332	
		5,750,265	05/12/98	Goodman	428	432	
		5,770,321	06/23/98	Hartig, et al.	428	622	
		5,780,149	07/14/98	McCurdy, et al.	428	336	
		5,800,933	09/01/98	Hartig, et al.	428	622	

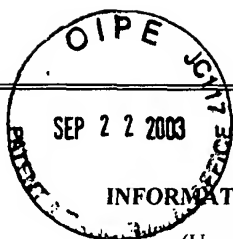
**FOREIGN PATENT DOCUMENTS**

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO

**OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)**


<b>EXAMINER</b>	<b>DATE CONSIDERED</b>
-----------------	------------------------

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

**INFORMATION DISCLOSURE CITATION**

(Use several sheets if necessary)

ATTY. DOCKET NO.

44046.203.268.1

SERIAL NO.

10/632,119

APPLICANT

Krisco et al.

FILING

July 31, 2003

GROUP

**U.S. PATENT DOCUMENTS**

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
		5,821,001	10/13/98	Arbab, et al.	428	623	
		5,837,361	11/17/98	Glaser, et al.	428	216	
		5,897,957	04/27/99	Goodman	428	432	
		5,942,338	08/24/99	Arbab, et al.	428	623	
		5,948,538	09/07/99	Brochot, et al.	428	432	
		5,952,084	09/14/99	Anderson, et al.	428	212	
		5,965,246	10/12/99	Guiselin, et al.	428	212	
		6,007,901	12/28/99	Maschwitz, et al.	428	216	
		6,014,872	01/18/00	Hartig, et al.	65	58	

**FOREIGN PATENT DOCUMENTS**

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO

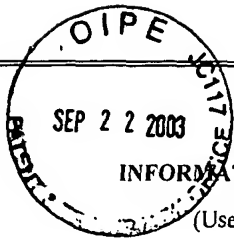
**OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)**


EXAMINER

DATE CONSIDERED

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.





## INFORMATION DISCLOSURE CITATION

(Use several sheets if necessary)

ATTY. DOCKET NO.

44046.203.268.1

SERIAL NO.

10/632,119

APPLICANT

Krisko et al.

FILING

July 31, 2003

GROUP

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
		6,020,077	02/01/00	Schicht, et al.	428	622	
		6,030,671	02/29/00	Yang, et al.	428	34	
		6,034,813	03/07/00	Woodard, et al.	359	360	
		6,042,934	03/28/00	Guiselin, et al.	428	213	
		6,045,896	04/04/00	Boire, et al.	428	216	
		6,059,909	05/09/00	Hartig, et al.	156	109	
		6,060,178	05/09/00	Krisko et al.	428	627	
		6,074,730	06/13/00	Laird, et al.	428	212	
		6,124,026	09/26/00	McCurdy, et al.	428	216	
		6,132,881	10/17/00	Hartig, et al.	428	432	

## FOREIGN PATENT DOCUMENTS

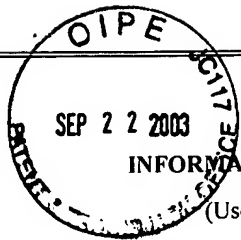
		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO

## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)


EXAMINER

DATE CONSIDERED

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.



SEP 22 2003

## INFORMATION DISCLOSURE CITATION

(Use several sheets if necessary)

ATTY. DOCKET NO.  
44046.203.268.1SERIAL NO.  
10/632,119APPLICANT  
Krisko et al.FILING  
July 31, 2003

GROUP

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
		6,150,028	11/21/00	Mazon	428	426	
		6,154,598	11/28/00	Gavrilovic, et al.	385	141	
		6,159,607	12/12/00	Hartig, et al.	428	426	
		6,159,621	12/12/00	Schicht, et al.	428	633	
		6,174,599	01/16/01	Boire, et al.	428	336	
		6,190,776	02/20/01	Demiryont	428	434	
		6,210,784	04/03/01	Rondeau, et al.	428	212	
		6,218,018	04/17/01	McKown, et al.	428	432	
		6,231,999	05/15/01	Krisko	428	627	
		6,266,193	07/24/01	Saif, et al.	359	582	
		6,274,244	08/14/01	Finley et al.	428	433	

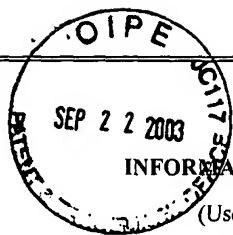
## FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO

## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

EXAMINER	DATE CONSIDERED	

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.



## INFORMATION DISCLOSURE CITATION

(Use several sheets if necessary)

ATTY. DOCKET NO.

44046.203.268.1

SERIAL NO.

10/632,119

APPLICANT

Krisko et al.

FILING

July 31, 2003

GROUP

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
		6,284,385	09/04/01	Guillaumon, et al.	428	450	
		6,287,675	09/11/01	Guiselin, et al.	428	213	
		6,355,334	03/12/02	Rondeau, et al.	428	212	
		2001/0003628 A1	06/14/01	Demiryont	428	630	
		2001/0044032 A1	11/22/01	Finley et al.	428	433	
		2001/0006734 A1	07/05/01	Demiryont	428	432	
		2001/0053439 A1	12/20/01	Guiselin, et al.	428	213	
		2002/0016250 A1	02/07/02	Hayakawa, et al.	502	5	
		2002/0034641 A1	03/21/02	Ebisawa et al.	428	426	
		2002/0037414 A1	03/28/02	Cunningham	428	412	
		2002/0037421 A1	03/28/02	Arnaud, et al.	428	472	
		2002/0045037 A1	11/28/89	Boire, et al.	428	216	

## FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO

## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)


EXAMINER

DATE CONSIDERED

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.